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# A new approach to the inhibition of gene expression

Natasha J. Caplen

The induction of a specific down-regulation in gene expression is an important research tool. Recently, several related processes including post-transcriptional gene silencing (PTGS) and RNA interference (RNAi) have been identified that generate sequence-specific inhibition of gene expression. Although the physiological function of PTGS and RNAi is still being elucidated, these pathways have been used to rapidly determine gene function. The recent observation of RNAi in mammalian cells extends the possible applications of these mechanisms.

Although PTGS (first identified in plants and fungi) and RNAi (first observed in *Caenorhabditis elegans* and *Drosophila*) were identified independently, genetic and biochemical analyses suggest a strong evolutionary link between these multi-step pathways [1–3]. These pathways share some common elements, including that they are triggered by double stranded RNA (dsRNA) and that the trigger dsRNA is enzymatically processed into small fragments of 20 to 25 nucleotides (nts) in length – the fragments appear to mediate the sequence-specific recognition of the target single stranded RNA (ssRNA) [4,5]. The enzyme responsible for the cleavage of the triggering dsRNA has been identified as a dsRNA-specific RNase, called Dicer, that generates dsRNA breakdown products

resembling those generated by RNase III cleavage with a 5' phosphate group, a 3' hydroxyl group and two or three nt 3' overhangs [6]. Evidence that these small dsRNAs or short interfering RNAs (siRNAs) act as guides for the enzymatic complex required for the degradation of the target ssRNA includes the cleavage of the target mRNA at regular intervals of ~21–23 nts in the region corresponding to the input dsRNA [5]. Dicer, which also has a potential helicase activity and an additional domain of undetermined function, has been shown to be essential for RNAi in *Drosophila* and *C. elegans* [6,7]. In addition, Dicer has a role in the processing of transcripts required for normal development [8,9]. So far, only one protein component of the multi-subunit complex or RNA-induced silencing complex (RISC) required for the cleavage of the target RNA has been identified.

This protein, Argonaute2, is a member of a large family of proteins involved in germ cell and stem cell production that contain conserved domains known as PAZ and PIWI domains [10]. Several genes with PAZ or PIWI domains have been linked with RNAi, including Dicer but, as yet, it is unclear how most of these proteins are involved in PTGS and RNAi [11]. Figure 1 shows a model of some aspects of dsRNA mediated gene silencing. In some species, there is evidence for the role of a RNA-dependent RNA polymerase in PTGS and

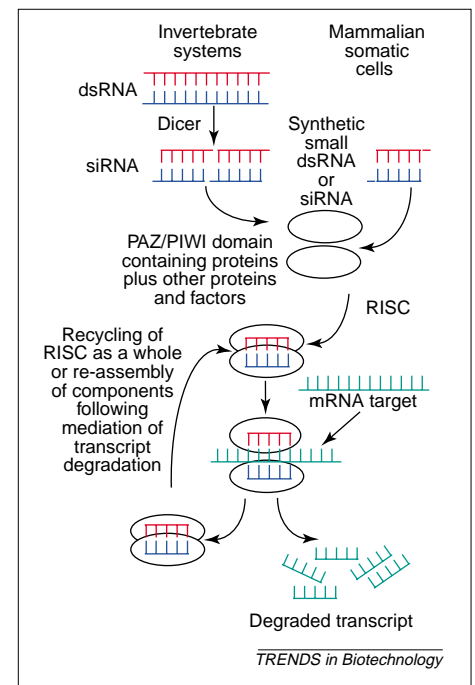


Fig. 1. A model of parts of the proposed RNAi pathway in invertebrate and mammalian somatic cells. Processed or synthesized dsRNAs of ~20–23 nucleotides interact with several proteins and factors to generate a complex that mediates sequence-specific degradation of the target transcript. It is currently unclear as to what extent the final structure of the dsRNAs that mediate optimal inhibition in somatic mammalian cells will resemble the naturally processed siRNAs (short interfering RNAs) identified in plants and invertebrates and so these structures have been referred to as both small dsRNAs and siRNAs. Abbreviation: RISC, RNA interfering silencing complex.

RNAi [12,13], processes that induce systemic spread of the gene silencing

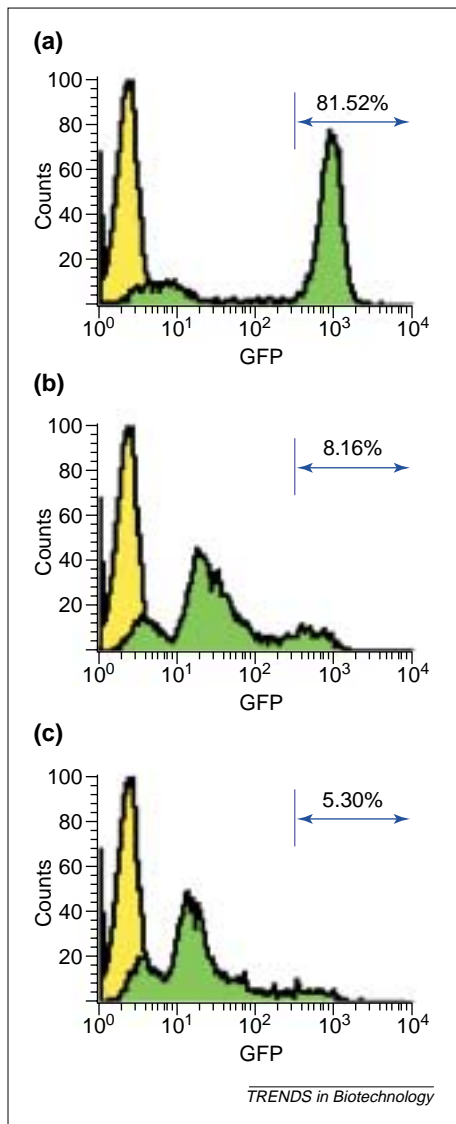


Fig. 2. Small dsRNA mediated inhibition of gene expression in human cells. (a–c) Representative histograms of fluorescent activated cell sorting (FACS) analysis (FacsCaliber, Becton Dickinson). HeLa cells stably expressing a destabilized version of enhanced green fluorescent protein (d2EGFP) with a half-life of 2 hours (HeLa/d2EGFP cells) transfected with (a) 22 nucleotide (nt) dsRNA corresponding to a portion of the *LacZ* gene (sense 5' PO<sub>4</sub>r(GGUGGCGCUGGAUGGUAAGCCG) 3' OH, antisense 5' PO<sub>4</sub>r(GCUUACCAUCCAGCGCCACCAU) 3' OH), (b) 20 nt *egfp* dsRNA (5' PO<sub>4</sub>r(CAUUUUUUUAAGGACGACG) 3' OH, antisense 5' PO<sub>4</sub>r(UCGUCCUUGAAGAAGAUUGU) 3' OH) and (c) 22 nt *egfp* dsRNA (sense 5' PO<sub>4</sub>r(GCAAGCUGACCCUGAAGUUCAL) 3' OH, antisense 5' PO<sub>4</sub>r(GAACUUCAGGGUCAGCUUGCCG) 3' OH). All cells were transfected with 2 µg dsRNA using a cationic lipid carrier (Lipofectin; Invitrogen, Gaithersburg, MD, USA) and were assayed by FACS analysis 48 hours after transfection. For FACS analysis HeLa cells transfected with 2 µg *egfp* 22nt dsRNA were used as a control for non-GFP expressing cells (shown in yellow in each histogram). 10 000 non-gated events were acquired. Abbreviation: GFP, green fluorescent protein.

effect [3] and links with mechanisms that generate long-term maintenance of gene silencing, for example, DNA methylation in plants [14].

#### dsRNA triggered gene silencing in somatic mammalian cells

dsRNA-triggered gene silencing has been identified in a wide range of species of plants, fungi and invertebrates but the demonstration of an RNAi-like response in somatic mammalian cells has been hampered by the presence of several dsRNA-triggered pathways that mediate non-specific effects on gene-expression in vertebrate cells [15]. The two best-defined mammalian pathways activated by dsRNA are (1) the dsRNA-dependent protein kinase (PKR) and interferon pathway, which causes a generalized suppression of protein synthesis and apoptosis, and (2) the dsRNA-induced synthesis of 2'-5' polyadenylic acid, which leads to the activation of a non-specific RNase, RNaseL. However, PKR is not activated by dsRNA of less than 30 nts, and thus we, and other groups, have recently examined whether small synthetic dsRNAs or siRNAs could avoid this non-specific pathway and trigger a sequence-specific inhibition of gene expression in mammalian cells [16,17].

In these first studies, the principal method used was the co-transfection (using lipofection) of plasmids expressing marker genes and synthetic dsRNAs corresponding to the target marker gene or control sequences. The small dsRNAs (21–23 nts) tested in these two studies were broadly similar to each other and consisted of chemically synthesized and annealed single stranded sense and antisense RNAs with 2 nt 3' overhangs. Several somatic mammalian cell lines were tested including murine, non-human primate and human-derived cells. All these cell lines showed evidence for sequence-specific inhibition of the target gene when the appropriate small dsRNA was transfected. In addition, small dsRNAs (21–23 nts) did not activate PKR *in vitro* [17]. Elbashir and colleagues extended their study to investigate the ability of dsRNA to down-regulate the expression of an endogenously expressed protein. Double stranded RNAs corresponding to the nuclear envelope protein lamin A/C induced an estimated 90% reduction in protein levels 40–45 hours after initiation of transfection [16]. Figure 2 shows an example of the decrease in gene expression induced by small dsRNAs in a human cell line.

#### RNAi as a reverse genetics tool and its potential as a therapeutic approach

For a long time, the inhibition of the expression of a particular gene has been an important means of establishing the function of a gene. With the identification of RNAi, biologists have a new technology with which to achieve this. Exploitation of RNAi as a reverse genetics tool has required the development of slightly different methods for each of the organisms under study, principally because different techniques are required for the transfer of dsRNA. In *C. elegans*, in which RNAi has been most widely adapted as a reverse genetics tool, the dsRNA is usually administered using one of three methods: (1) direct injection into the gonads of worms, (2) soaking worms in a solution containing dsRNA, or (3) feeding worms with bacteria carrying plasmids that express either complementary ssRNA transcript or an inverted repeat hairpin structure. In the past 18 months, the direct injection of dsRNA into *C. elegans* has been used to establish or confirm the function of numerous genes. However, perhaps more importantly, RNAi in *C. elegans* has been used to assign phenotypic effects to many genes that were previously only identified as computer-predicted open reading frames [18,19].

The direct injection of dsRNA into *Drosophila* embryos has been used to study the function of several genes expressed during development and to achieve stable silencing in adult flies strategies based on an integrative structure expressing an inverted repeat-hairpin structure have been developed [20]. It is probably too early to predict how widely RNAi will be used in vertebrate cells because it is unclear whether all mammalian cell types can support RNAi and work is still required to determine the key parameters that will generate consistent RNAi against any given RNA target. However, two reports using small dsRNA triggered RNAi in human 293 cells to determine gene function have been published recently [21,22].

A therapeutic approach to many diseases could be to inhibit the production of proteins involved in initiation or progression of disease, for example proteins involved in virus or parasite–host interactions, pathogen replication, oncogenesis or cell toxicity. Many invertebrate species are important

vectors or mediators of disease. RNAi occurs in the protozoan parasite *Trypanosoma brucei* where it is being actively used as a reverse genetics tool [23] but there is also the potential that the same strategy could be adapted to down-regulate genes involved in the replication and/or maturation of this organism so blocking its natural life cycle. In mammalian cells we have used small dsRNA to rescue the cellular toxicity induced by plasmids expressing transcripts encoding an expanded polyglutamine tract, a defect associated with several dominant genetic disorders [24].

These studies only hint at the ways that dsRNA-triggered gene silencing could be used to block gene expression, but as our understanding of PTGS and RNAi improves it is probable that methods that use these pathways will prove to be versatile reverse genetics tools in a wide range of species and potentially a novel means of treating disease.

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#### Meeting Report

## Genes, technology and public dialogue in Tartu, Estonia

Andres Metspalu

The Gene Technology Forum 2001 was held in Tartu, Estonia, 13–15 September 2001.

The success of the implementation of gene technologies in improving everyday life, including healthcare and food production, depends on one single and major issue – whether the general public will love (or hate) it. The key to this issue is to continue the dialog between the scientific community and the general public. Advances in human genetics and pharmacogenetics, directed either to gene discovery or drug trials, straightforwardly depend on large-scale population-based studies. Estonia has launched the Estonian Genome Project

(see <http://www.genomics.ee>) with the major goal of creating the largest health database, and including genetic data of the participating individuals. As a part of public dialog and education devoted to human genetics and the development of gene technologies, Tartu has been hosting international meetings since 1999.

This year, about 400 medical doctors, scientists, students and specialists with diverse backgrounds gathered and the meeting started with a minute of silence to remember those who perished in the World Trade Center and the Pentagon.

The keynote speaker of the conference, Klaus Lindpaintner (Roche Genetics, the genetics division of F. Hoffmann-La Roche

AG, Basel, Switzerland) offered his interpretation of genomics- and genetics-based healthcare. Elaborating on the increasing importance of genetics in healthcare, he explained how individualized, more efficient medicines developed on the basis of gene technology will help to reduce healthcare costs and how genome research will permit the prevention of diseases earlier and on a larger scale than at present. He emphasized that we should handle genetics as any other big advance in medicine and not mystify it – genomic approaches are not going to change the paradigm of how medicine is or will be practiced, they will just provide new tools